

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2002B039A
In Re Application of: Garton et al.			
U.S. Serial No. Unassigned	Filing Date Attached hereto	Examiner Unassigned	Group Art Unit Unassigned

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the foreign references are enclosed.

☐ This application is a:

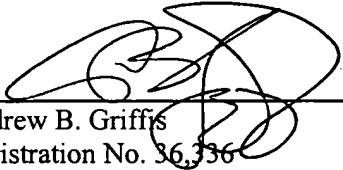
- ☐ Continuation / Divisional,
- ☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are ☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1715. A duplicate copy of this form is enclosed.

Date

23 September 2004


Andrew B. Griffis
Registration No. 36,336
ExxonMobil Chemical Company
Law Technology
P.O. Box 2149
Baytown, Texas 77522-2149
(281) 834-1886 Voice
(281) 834-2495 Facsimile

INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO.		SERIAL NO.	
					2002B039A		Unassigned	
					APPLICANT			
					Caers, et al.			
					FILING DATE		GROUP	
		Attached hereto		Unassigned				

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2,638,485	05/12/53	J.K. Mertzweiller	260	604	
	AB	2,744,936	05/08/56	J.K. Mertzweiller	260	604	
	AC	2,754,332	07/10/56	R.B. Mason	260	604	
	AD	2,757,204	07/31/56	W.F. Ratcliff	260	604	
	AE	2,757,205	07/31/56	Mertzweiller et al.	260	604	
	AF	2,757,206	07/31/56	T.G. Jones et al.	260	604	
	AG	2,767,217	10/16/56	J.E. Moise et al.	260	604	
	AH	2,768,974	10/30/56	R.W. Krebs et al.	260	604	
	AI	2,812,356	11/05/57	C.L. Aldridge et al.	260	604	
	AJ	2,816,933	12/17/57	J.K. Mertzweiller	260	638	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	DE 2056342	05/25/72	Germany	C07C	27/22		X
	AL	EP 0 643 683	08/20/97	Europe	C07C	45/50		
	AM							
	AN							

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)	
	AO Abstract, DE 2056342, "Nonanal by Oxo Synthesis", dated 05/25/72

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant	

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2,834,815	05/13/58	Mertzweiller et al.	260	638	
	AB	3,055,942	09/25/62	C. Roming, Jr.	260	604	
	AC	3,960,978	06/01/76	Givens et al.	260	683.15R	
	AD	4,021,502	05/03/77	Plank et al.	260	683.15R	
	AE	4,076,842	02/28/78	Plank et al.	423	328	
	AF	4,150,062	04/17/79	Garwood et al.	260	673	
	AG	4,211,640	07/08/80	Garwood et al.	208	255	
	AH	4,410,090	10/18/83	Sindermann	B07C	5/10	
	AI	4,520,221	05/28/85	Hsia Chen	585	517	
	AJ	4,522,929	06/11/85	Chester et al.	502	77	
	AK	4,524,232	06/18/85	Chester et al.	585	517	

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,547,613	10/15/85	Garwood et al.	585	533	
	AB	4,568,786	11/04/86	Hsia Chen et al.	585	517	
	AC	4,625,067	11/25/86	Hanin	568	451	
	AD	4,855,527	08/08/89	Page et al.	585	527	
	AE	4,870,038	09/26/89	Page et al.	502	62	
	AF	5,026,933	06/25/91	Blain et al.	585	7	
	AG	5,112,519	05/12/92	Giacobbe et al.	252	174.21	
	AH	5,218,134	06/08/93	Pruett et al.	560	239	
	AI	5,237,105	08/17/93	Summerlin	568	451	
	AJ	5,245,072	09/14/93	Giacobbe et al.	560	99	
	AK	5,321,168	06/14/94	Roussel et al.	568	882	

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	AA	5,410,090	04/25/95	Beadle et al.	568	451	
	AB	5,417,869	05/23/95	Giacobbe et al.	252	33.6	
	AC	5,457,240	10/10/95	Beadle et al.	568	451	
	AD	5,985,804	11/16/99	Ashjian et al.	508	287	
	AE	6,013,851	01/11/00	Verrelst et al.	585	533	
	AF	6,015,928	01/18/00	Gubisch et al.	568	882	
	AG	6,150,322	11/21/00	Wright et al.	514	16	
	AH	2001/0023306	09/20/01	Kaizik et al.	568	451	
	AI						
	AJ						

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